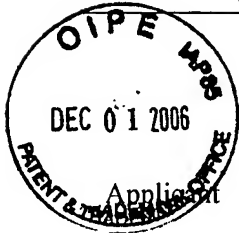


Please Direct All Correspondence to Customer Number 20995



TRANSMITTAL LETTER
INFORMATION DISCLOSURE STATEMENT

Applicant : Hiroshi Shimbori
App. No : 10/561760
Filed : December 21, 2005
For : RESIN FOR POSITIVE RESIST
COMPOSITION, AND POSITIVE RESIST
COMPOSITION USING THE SAME,
LAMINATE AND METHOD FOR
FORMING RESIST PATTERN
Examiner : Unassigned
Art Unit : 1752

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

November 28, 2006

(Date)

Neil S. Bartfeld, Ph.D., Reg. No. 39,901

Mail Stop Amendment

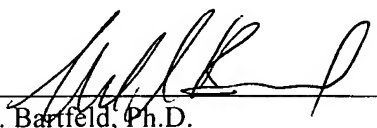
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

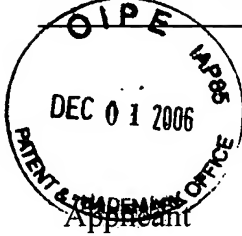
Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
 - (X) Listing 2 references.
 - (X) Enclosing 1 reference.
- (X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.



Neil S. Bartfeld, Ph.D.
Registration No. 39,901
Agent of Record
Customer No. 20,995
(619) 235-8550

**INFORMATION DISCLOSURE STATEMENT**

Applicant : Hiroshi Shimbori
App. No : 10/561760
Filed : December 21, 2005
For : RESIN FOR POSITIVE RESIST
COMPOSITION, AND POSITIVE
RESIST COMPOSITION USING THE
SAME, LAMINATE AND METHOD
FOR FORMING RESIST PATTERN
Examiner : Unassigned
Art Unit : 1752

CERTIFICATE OF MAILING

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November 28, 2006

(Date)

Neil S. Bartfeld, Ph.D., Reg. No. 39,901

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 2 references to be considered by the Examiner. Also enclosed is 1 foreign patent reference and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Appl. No. : 10/561760
Filed : December 21, 2005


Docket No. SHIGA7.039APC
Customer No. 20,995

Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

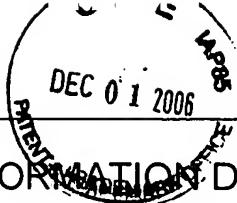
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: November 28, 2006

By: 
Neil S. Bartfeld, Ph.D.
Registration No. 39,901
Agent of Record
Customer No. 20,995
(619) 235-8550

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

| | |
|----------------------|-------------------|
| Application No. | 10/561760 |
| Filing Date | December 21, 2005 |
| First Named Inventor | Hiroshi Shimbori |
| Art Unit | 1752 |
| Examiner | Unassigned |
| Attorney Docket No. | SHIGA7.039APC |

(Multiple sheets used when necessary)

SHEET 1 OF 1

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear |
|-------------------|----------|---|--------------------------------|-------------------------------|--|
| | 1 | 6,649,322 B2 | 11-18-2003 | Tokyo Ohka Kogyo Co., Ltd. | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T ¹ |
|-------------------|----------|--|--------------------------------|----------------------------------|--|----------------|
|-------------------|----------|--|--------------------------------|----------------------------------|--|----------------|

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ¹ |
|-------------------|----------|---|----------------|
| | 2 | Office Action dated October 10, 2006 for corresponding Japanese Patent Application No. 2003-193650. | |

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112806

Examiner Signature

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.